

**Pipeline and Hazardous Materials Safety Administration
Hazardous Materials Registration Program Summary Report**

The Pipeline and Hazardous Materials Safety Administration's (PHMSA), Hazardous Materials Emergency Preparedness Grant Program (the program) is funded by registration fees collected from hazardous materials (hazmat) shippers and carriers who offer for transportation or transport certain hazmat in intrastate, interstate, or foreign commerce. These fees fund training and planning grants, monitoring and technical assistance, curriculum development, and staffing costs. Registration fees also fund the publication and distribution of the Emergency Response Guidebook. Federal hazardous material transportation law (49 U.S.C. 5101 et seq., federal hazmat law) requires offerors and transporters of certain hazardous materials to file a registration statement and to pay an annual registration fee. Each registration year (RY) is the one-year period from July 1 to June 30. The fiscal year (FY) is the accounting period for the federal government that begins on October 1 and ends on September 30.

PHMSA maintains a fund balance in the Registration Fee receipt account at a level sufficient to fund current needs. Current needs include the amount needed to fund the return of prepaid fees. Below is a schedule of available fund balance that indicates \$12,740,262 is available in the receipt account for obligations in FY 2021:

Pipeline and Hazardous Materials Safety Administration

Schedule of Available Fund Balance as of 9/30/2020

	FY 2018	FY 2019	FY 2020
Beginning Fund Balance (10/1)	\$63,682,841	\$70,424,654	\$73,800,008
Plus: Fees Collected /1	27,993,076	25,632,980	23,414,250
Less: Payments	(21,251,263)	(22,257,626)	(29,041,348)
Ending Fund Balance	70,424,654	73,800,008	68,172,910
Obligations			
Obligations	(52,857,089)	(59,193,778)	(53,684,508)
Recoveries	125	2,353,686	236,910
Obligations Net of Recoveries	(52,856,964)	(56,840,092)	(53,447,598)
Unencumbered Fund Balance	17,567,690	16,959,916	14,725,312
Less: Registration Fees Received in Advance	(9,823,600)	(8,810,450)	(1,985,050)
Available Fund Balance	\$7,744,090	\$8,149,466	\$12,740,262

/1 reconciled fee collections based on receivables in the financial system

Of the available fund balance on September 30, 2020, the program plans to carry the entire balance forward in to FY 2021 for use the following amounts:

Pipeline and Hazardous Materials Safety Administration

Schedule of Available Fund Balance After Planned Uses

Available Fund Balance 10/1/2020	\$12,740,262
Transfer from General Fund	\$1,000,000

Planned Uses

Less: Hazardous Materials Emergency Preparedness (HMEP) Grants	(21,988,000)
Less: Hazardous Materials Instructor Training (HMIT) Grants	(4,554,771)
Less: Supplemental Public Sector Training (SPST) Grants	(1,059,000)
Less: Assistance for Local Emergency Response (ALERT) Grants	(2,500,000)
Less: Administrative Costs	(1,330,000)
Available Fund Balance after Planned Uses	\$ (17,691,509)

The following table shows the count of registrations and total registration fee collections for (registration and processing fees) in FY 2020

Schedule of Registration Collections Received in FY 2020

<i>Number of registrations</i>	32,202
Total collections	\$ 24,004,425
Processing Fees	\$ 531,400
Net Collections (Total Collections Less Processing Fees)	\$ 23,473,025

The following table shows the number of registrations and total collections for registrations and processing fees from the hazardous materials registrants from program inception (FY 1992 through FY 2020).

Schedule of Registration Collections since Program Inception (FY 1992 through FY 2020)

<i>Number of Registrations</i>	826,762
Total collections	512,157,297
Registration Fees	485,726,525
Processing Fees	26,430,772

Along with each registration, the registrant completes a short survey. The survey information is used to record the hazardous materials activities during the prior calendar year. If a person did not engage in any of these activities, then they indicate “Did Not Engage in Any of the Activities”. The registrant also indicates whether the hazardous materials were handled as a Shipper, Carrier or Other. “Other” are registrants (other than the shipper or carrier) who are responsible for performing pre-transportation functions required by Hazardous Materials Regulations (ex. freight forwarders, package consolidators, etc.):

Schedule of Registrants in FY 2020 (Registration Year 2020-2021) That Included Survey Information

Total Count of registrations (Registrations that include any survey information)	21,240
*Count that marked “Shipper”	13,518
Small business	9,576
Not small business	3,942
*Count that marked “Carrier”	9,835
Small business	7,920
Not small business	1,915
**Count of that marked “Other”	1,500
Small business	1,064
Not small business	436

* Number includes 2,643 that marked both “Carrier” and “Shipper”

** Number includes 819 that also marked “Carrier” or “Shipper” or both

Registrants pay a different rate depending on their self-reported classification as a Small or Large Business Company. Below is a summary of fees collected by business type of and in total.

Schedule of Registration Collections Received in FY 2020 for Small, Large Businesses, and Combined

	Total Number of Registrants	Percentage	Registration Collections	Processing Fees
Fee Collections in FY 2020 - Small Businesses (or Not-for-profit)				
Collections Received for Current RY (2020-2021)	14,546	57.0%	3,654,400	337,300
Prior Years Late Registrations Received in Current RY (2020-2021)	2,693	10.5%	678,775	62,025
Collections Received for Future RY (2021-2022)	5,056	19.8%	1,263,000	0
Collections Received for Future RY (2022-2023)	3,234	12.7%	808,250	0
Small Business Total	25,529	100%	\$6,404,425	\$399,325
Fee Collections in FY 2020 -Other than Small Businesses/Large Business				
Collections Received for Current RY (2020-2021)	4,956	74.3%	12,814,225	120,725
Prior Years Late Registrations Received in Current RY (2020-2021)	503	7.5%	1,289,000	11,350
Collections Received for Future RY (2021-2022)	729	10.9%	1,788,575	0
Collections Received for Future RY (2022-2023)	485	7.3%	1,176,800	0
Other Than Small Businesses Total	6,673	100%	\$17,068,600	\$132,075
Combined Fee Collections in FY 2020 (Small and Large Business)				
Collections Received for Current RY (2020-2021)	19,502	60.6%	16,468,625	458,025
Prior Years Late Registrations Received in Current RY (2020-2021)	3,196	9.9%	1,967,775	73,375
Collections Received for Future RY (2021-2022)	5,785	18.0%	3,051,575	0
Collections Received for Future RY (2022-2023)	3,719	11.5%	1,985,050	0
Total RY 2020-2021 Collections	32,202	100%	23,473,025	\$531,400

Oftentimes in registering, a company will pay arrears in registration fees. In RY 2020, we collected \$1,967,775 in prior year registration fees as displayed by year below:

Schedule of Registration Collections for Prior RYs 1992 to 2019 Collected During FY 2020

Registration Years	Number of Registrants	Registration Collections	Processing Fee
1992-2000	5	1250	275
2000-2001	2	\$550	50
2001-2002	2	\$550	25
2002-2003	2	\$550	0
2003-2004	2	\$250	25
2004-2005	2	\$250	25
2005-2006	2	\$250	0
2006-2007	2	\$500	25
2007-2008	1	\$250	0
2008-2009	1	\$250	0
2009-2010	2	\$1,225	50
2010-2011	4	\$3,325	50
2011-2012	4	\$3,325	50
2012-2013	3	\$750	25
2013-2014	5	\$625	75
2014-2015	7	\$1,750	100
2015-2016	20	\$18,950	400
2016-2017	38	\$42,050	550
2017-2018	70	\$64,000	975
2018-2019	180	\$121,725	3,275
2019-2020	2,842	\$1,705,400	67,400
Prior Years Fees Received in RY 2020-2021	3,196	1,967,775	73,375

Registration Fees Collected in Advance* for Use in Future FYs Obligations

	Registrations Collections
Total Funds Collected in RY 2020-2021 for use in FY 2022	\$1,985,050

* Registrants can register for up to 3 years in advance